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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jacob Wohlstadter
Serial No: 09/698,586
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For: METHOD OF EXCHANGING SECURITIES
Examiner: Harish T. Dass
Art Unit: 3628

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Signature

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO ELECTION/RESTRICTION REQUIREMENT

Dear Sir:

In response to the Election/Restriction Requirement dated March 9, 2004, the Applicant elects Group I, Figure 1, with traverse. The traversal was made on the grounds that it is believed that a single search and examination covering some or all claims would not place undue burden on the Examiner.

Those claims that appear to be readable upon Figure 1 include claims 1, 2, and 8.

It is believed that at least some or all of claims 3, 4, 16, 17, 18, 19, 36, 38, and 40 should be searchable and examinable, along with claims 1, 2, and 8, without undue burden.

Notwithstanding the election of species based upon Figure 1, and a listing of those claims that might read on Figure 1, which would appear to be a group acceptable to the examiner for search and examination at first action, the applicants note that it can be inexact to precisely correlate claim language to a drawing. There may be limitations in the elected claims that could be properly argued

not to be found in Figure 1. Thus, the election of certain claims based upon Figure 1 should be considered to be a mechanism to further prosecution, but the applicants do not concede that if Figure 1, by itself, were to be available as prior art against the elected claims, then the elected claims would be unpatentable in view of this prior art.

A first and favorable action is respectfully requested. If, for any reason, the Examiner is of the opinion that a telephone conversation with the Applicant's representative would expedite prosecution, the Examiner is kindly invited to contact the undersigned at (617) 646-8251.

Respectfully submitted,
Schueller et al., Applicants

By: 

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